

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**(Case No. 97,150-C)**

**PATENT**

<b>In application of:</b>	)	
	)	
<b>Fruehauf</b>	)	<b>Before the Examiner:</b>
	)	<b>J. Burke</b>
<b>Serial No.</b>	)	
<b>To be assigned</b>	)	<b>Group Art Unit: 1642</b>
<b>Filed:</b>	)	
<b>October 16, 2001</b>	)	
<b>For:</b>	)	
<b>Methods for Cancer Prognosis</b>	)	
<b>and Diagnosis</b>	)	

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please enter the following Preliminary Amendment in the above-identified divisional application.

**AMENDMENTS**

The Examiner is respectfully requested to make the following amendments.

**IN THE SPECIFICATION:**

At page 1, line 1, please add --This application is a divisional of U.S. patent application Serial No. 09/199,217, filed November 28, 1998, now U.S. Patent No. 6,303,324, issued October 16, 2001, which is a divisional of U.S. patent application Serial No. 08/843,008, filed April 11, 1997, now U.S. Patent No. 5,840,507, which is a continuation-in-part of U.S. provisional application Serial No. 60/041,311, filed March 19, 1997.--

On page 1, line 24, please insert --lymph-- after "node".

On page 2, line 14, please insert --Early Breast Cancer Trialistic Collaborative Group-- before "1992" in the left parenthesis.

On page 2, line 14, please insert --The Ludwig Breast Cancer Study Group-- before "1989".

At page 5, line 24, please replace "Figures 1A through 1C" with -- Figures 1A, 1B and 1C--.

At page 6, line 4, please replace "Figure 3" with -- Figures 3A and 3B--.

At page 6, line 9, please add -- Figure 3A-- after "(GE-7".

At page 6, line 9, please add -- Figure 3B-- after "(LE-8".

On page 7, line 16, please delete "(CITE)".

On page 8, line 24, please replace "section" with --sections--.

On page 9, line 16, please insert --min-- after "20".

On page 14, line 1, please replace "Figures" with --(Figures--.

### REMARKS

If the Examiner in charge of this application believes it to be helpful, he or she is invited to contact the undersigned by telephone at (312) 913-0001.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

By:

Kevin E. Noonan, Ph.D.  
Reg. No. 35,303

Date: October 16, 2001